

Meeting: Audit Committee

Date: 26th July 2023

Wards Affected: None

Report Title: Risk Assurance Report For Our Integrated Adult Social Care Services

Is the decision a key decision? No

When does the decision need to be implemented? N/A

Cabinet Member Contact Details: Councillor Tranter, Cabinet Member for Adult and Community Services, Public Health and Inequalities, Hayley.tranter@torbay.gov.uk
Councillor Tyerman, Cabinet Member for Finance and Corporate Services, alan.tyerman@torbay.gov.uk

Supporting Officer Contact Details: Joanna Williams, Director Of Adult Services, joanna.williams@torbay.gov.uk

1. Introduction

- 1.1 As part of our risk management framework during 2022/23 Audit Committee approved the inclusion of Appendix A - Risk Governance Process For Our Integrated Adult Social Care Service.
- 1.2 The process was put in place as the statutory responsibility for Adult Social Care Services (ASC) sits with the council, however, the council has had an agreement in place since 2005 with Torbay South Devon NHS Foundation Trust (TSDFT) to deliver the operational services on the council's behalf.
- 1.3 As these services represent a significant spend for the Council (approximately 38% of the council's overall budget in 2022/23), our risk processes state that the Director of Adult Services will bring to Audit Committee an annual assurance report.
- 1.4 As set out in Appendix A, audits conducted on the Council's governance services for ASC are carried out by Devon Audit Partnership. Audits conducted on Torbay South Devon NHS Foundation Trust's operational ASC services are carried out by ASW Assurance.
- 1.5 This report provides a summary of both auditors' views and audit plans for 2023/24.

2. ASW Assurance Statement

- 2.1 Attached as Appendix B is a letter from ASW providing details relating to their assurance work that was carried out at the trust during 2022/23. The statement consists of two elements; Internal Audit Assurance and Counter Fraud Service Assurance. The Head of Internal Audit's opinion, as presented to TSDFT at its

Audit Committee held on 21st June 2023, provided an overall '**Satisfactory**' opinion. This was based on the audit work conducted during 2022/23. There were two specific audits carried out in relation to the Adult Social Care Services. These are below:

- Adult Social Care Outcomes Framework - Satisfactory
- Arranging Support Team - a management support review with no opinion provided

3. Devon Audit Partnership (DAP)

3.1 Included within this committee's agenda papers for today's meeting is the Devon Audit Partnership's Annual Audit Report 2022/23. Page 2 includes the overall opinion statement. The Head of Internal Audit's opinion on the adequacy and effectiveness of the authority's internal control framework is one of "**Reasonable Assurance**". DAP completed two specific audits linked to ASC during 2022/23, these are below:

A review on:

- ASC client debt (monitoring of commissioned function) – Limited Assurance
- ASC precept use (control of procurement and spend) – Limited Assurance

4. Future Audit Plans

4.1 The Torbay Council Internal Audit Plan was approved by the Audit Committee on 22nd March 2023. In respect of ASC the plan included the following piece of work which will be completed by DAP:

- ICO Sustainability and Improvement Plan (Management and Monitoring)

4.2 On the 17th July 2023 a meeting is taking place with the Director Of Adult Services and ASW Assurance to agree the audit work for 2023/24 in relation to the ASC's operational services.

5. Recommendation(s) / Proposed Decision

(i) That Audit Committee note the report.

Appendices

Appendix A: Risk Governance Process For Our Integrated Adult Social Care Service
Appendix B: ASW Assurance's Annual Assurance Statement

Background Documents

| Report clearance: | This report has been reviewed and approved by: | Date: |
|--------------------------------|---|--------------|
| Chief Executive | Anne-Marie Bond | N/A |
| Monitoring Officer | Amanda Barlow | 12/07/2023 |
| Director Of Finance Officer | Malcolm Coe | 11/07/2023 |
| Director Of Corporate Services | Matthew Fairclough-Kay | 11/07/2023 |